

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : A. Paul Alivisatos and Joerg Rockenberger
Patent No. : 6,984,369
Issued : January 10, 2006
For : Process for Making Surfactant Capped Metal Oxide Nanocrystals,
and Products Produced by the Process
Application No. : 09/721,126
Filed : November 22, 2000

**PETITION FOR CERTIFICATE OF CORRECTION OF
APPLICANT'S MISTAKE PURSUANT TO 37 C.F.R. 1.323**

Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants hereby petition to correct the specification of the patent by adding inadvertently omitted federal grant information in the second paragraph entitled "STATEMENT REGARDING FEDERAL SPONSORSHIP".

In the U.S. Patent No. 6,984,369, on the first page in the second paragraph entitled, "STATEMENT REGARDING FEDERAL SPONSORSHIP", after "The invention described herein arose in the course of, or under," the following information was inadvertently omitted by the Applicant,

--- U.S. Government support from the Air Force Office of Scientific Research under Grant No F49620-98-1-0243 and---

Please correct the Government Rights section to read as the following:

"STATEMENT REGARDING FEDERAL SPONSORSHIP"

The invention described herein arose in the course of, or under, U.S. Government support from the Air Force Office of Scientific Research under Grant No. F49620-98-1-0243 and contract No. DE-AC03-76SF00098 between the United States Department of Energy and the Regents, University of California for the operation of Ernest Orlando Lawrence Berkeley National Laboratory."

The error for which a Certificate of Correction is sought was a result of an applicant's mistake.

The Commissioner is hereby authorized to charge a fee in the amount of \$100.00 for correction of applicant's mistake (37 C.F.R. 1.20(a)) to our Deposit Account No. 120690. A duplicate copy of this paper and Certificate of Correction form PTO/SB/44 are enclosed.

Applicants hereby respectfully request that Hon. Commissioner grant the Petition and issue a Certificate of Correction for the above-referenced Patent.

Respectfully submitted,

Dated 8-16-11

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